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PATENT  
Our Docket: P-ZA 3519

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
Maurizio Zanetti )  
Serial No.: 09/300,959 )  
Filed: April 27, 1999 )  
For: SOMATIC TRANSGENE )  
IMMUNIZATION AND )  
RELATED METHODS )

Group Art Unit: 1646  
Examiner: Not Assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on July 14, 1999.

By Deborah L. Cadena  
Deborah L. Cadena, Reg. No. 44,048

July 14, 1999  
Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: **BOX MISSING PARTS**

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed May 21, 1999, enclosed are:

- X 1. A copy of the Notice to File Missing Parts.
- X 2. An executed Declaration for Patent Application in the above-identified U.S. Patent Application.
- 3. A Recordation Form Cover Sheet and an executed Assignment for the above-identified U.S. Patent Application.
- X 4. An executed Verified Statement (Declaration) Claiming Small Entity Status.
- X 5. An executed Power of Attorney for Patent Application by Inventor.
- X 6. Correction of Filing Receipt, including Exhibits A, B and C.

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	33-20	=	13	x	\$9	\$18	= \$117	\$
INDEPEN- DENT CLAIMS	5-3	=	2	x	\$39	\$78	= \$78	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED: YES <u>X</u> NO					\$130	\$260	= \$0	\$
					BASIC FEE		\$380	\$760
					TOTAL FEE		\$575	

- X 7. A check is enclosed in the amount of \$640.00 to cover the filing fee of \$575.00, the surcharge fee of \$65.00 is enclosed.

Inventors: Maurizio Zanetti  
Serial No.: 09/300,959  
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X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

X Any additional filing fees required under 37 C.F.R. 1.16.  
X Any patent application processing fees under 37 C.F.R. 1.17.

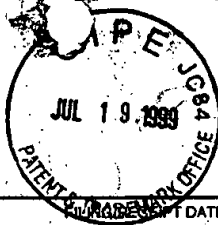
X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

By: Deborah L. Cadena  
Deborah L. Cadena  
Reg. No. 44,048

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive, Suite 700  
San Diego, California 92122  
Telephone: (858) 535-9001  
Facsimile: (858) 535-8949

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P-2A 3519  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/300,959	04/27/99	ZANETTI	M P-2A

CATHRYN CAMPBELL  
CAMPBELL & FORES LLP  
SUITE 700  
4370 LA JOLLA VILLAGE DRIVE  
SAN DIEGO CA 92122

0232/0521

NOT ASSIGNED

DATE MAILED:

05/21/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 360 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 300 including any multiple dependent claim fees, are required.

\$ 136 for 2 independent claims over 3.

\$ 234 for 13 dependent claims over 20.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/22/1999 HIRPPY 00000001 09300959  
01 FC:201 360.00  
02 FC:203 117.00  
03 FC:202 78.00  
04 FC:205 65.00